



State of Illinois

State Internal Audit Advisory Board

**SIAAB 2015 Fall
Government
Auditing
Conference**

October 27-29, 2015

Northfield Inn, Suites &
Conference Center
Springfield, IL

Co-Sponsored by the Springfield
Chapter of The Institute of
Internal Auditors



State of Illinois

State Internal Audit Advisory Board

2015 Fall Government Auditing Conference
October 27-29, 2015

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Information

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of the SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers a wide variety of topics, including breakout sessions where peers can exchange ideas and information. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$90.00, you can earn up to 17.0 hours of CPE, which provides tremendous value in these tight economic times. I would also like to invite you to learn more about the SIAAB by exploring our Web site at: <http://siaab.audits.uillinois.edu>.



Tracy K. Allen, CPA, CISA
Chief Internal Auditor
Illinois Office of the Comptroller

THINGS TO DO IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln's Home, National Historic Site
- Lincoln's Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall

And much more!



Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or

low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's original horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at <http://www.visit-springfieldillinois.com>.

HOTEL ACCOMMODATIONS

A block of rooms is being held at the *Northfield Inn, Suites & Conference Center*, Springfield, Illinois, for the nights of October 26, 27, and 28, 2015.

The *Northfield Inn* is located at:

**3280 Northfield Drive
Springfield, IL 62702**

Reservations are to be made directly with the *Northfield Inn* by calling **217-523-7900**. The

block of rooms is reserved under the name of **The Institute of Internal Auditors** at the State rate of \$70.00 (plus tax). **The block of rooms will be released on October 16, 2015**. After the cutoff date, there is no guarantee that rooms under this block or at State rates will be available.

Additional information about the *Northfield Inn* can be found at <http://www.northfieldinn.com>.



2015 SIAAB Fall Government Auditing Conference

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The Institute of Internal Auditors

DATE

Tuesday, October 27, 2015, thru Thursday, October 29, 2015

FIELD OF STUDY

Federal / State Governmental Accounting and Auditing

COURSE OBJECTIVE

Increase professional accounting and auditing skills pertaining to:

- BKD Fraud Prevention, Detection and Investigation
- Yellow Book Internal Controls, Ethics and Fraud
- Government Auditing Standards and Yellow Book Update
- Illinois Inspector General Investigations
- Statewide Enterprise Management Project
- Team Work and Auditing Collaboratively
- SharePoint Audits
- Data Protection and Avoiding Breaches

COURSE LEVEL

- External / Internal Audit Staff;
- External / Internal Audit Supervisors;
- External / Internal Audit Managers; and
- Chief Internal Auditors.

(No advanced preparation required.)

LOCATION: Northfield Inn, Suites & Conference Center

Maximum CPE Offered: 17.0 Hours

COURSE FEE: \$90.00



October 27, 2015 Agenda

08:00 a.m. – 08:30 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:30 a.m. – 08:35 a.m.	OPENING REMARKS Gary Shadid, CPA, CGMA SIAAB Chairman Chief Internal Auditor, Illinois Department of Agriculture
08:35 a.m. – 09:35 a.m.	BKD Government and Universities Fraud Prevention and Detection Bryan Callahan, CPA, CFE, CVA, Senior Managing Consultant of Forensic & Valuation Services
09:35 a.m. – 9:50 a.m.	BREAK
9:50 a.m. – 10:50 a.m.	BKD Government and Universities Fraud Prevention and Detection (<i>continued</i>) Bryan Callahan, CPA, CFE, CVA, Senior Managing Consultant of Forensic & Valuation Services
10:50 a.m. – 10:55 a.m.	SHORT BREAK
10:55 a.m. – Noon	BKD Professional Ethics Bryan Callahan, CPA, CFE, CVA, Senior Managing Consultant of Forensic & Valuation Services
Noon – 01:00 p.m.	LUNCH
01:00 p.m. – 02:00 p.m.	Yellow Book Internal Controls: Ethics and Fraud Jim Dahlquist, Senior Manager, Training and Recruitment, Illinois Auditor General's Office
02:00 p.m. – 03:00 p.m.	KPMG Government Auditing Standards: Yellow Book Update Cathy Baumann, Public Sector Leader and Audit Partner Jason Rosheisen, Manager
03:00 p.m. – 03:20 p.m.	BREAK
03:20 p.m. – 04:20 p.m.	KPMG Government Auditing Standards: Yellow Book Update (<i>continued</i>) Cathy Baumann, Public Sector Leader and Audit Partner Jason Rosheisen, Manager
04:20 p.m. – 04:30 p.m.	Introduction to New Chicago Government Advisory Committee Mark Kimmet and Cassaundra Rouse, IIA Chicago Chapter
04:30 p.m.	WRAP-UP AND ADJOURNMENT

October 28, 2015 Agenda

07:45 a.m. – 08:25 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:25 a.m. – 08:30 a.m.	OPENING REMARKS Gary Shadid, CPA, CGMA SIAAB Chairman Chief Internal Auditor, Illinois Department of Agriculture
08:30 a.m. – 09:30 a.m.	Ethics Investigations Maggie Hickey, Executive Inspector General Thomas Klein, Deputy Executive Inspector General
09:30 a.m. – 09:35 a.m.	SHORT BREAK
09:35 a.m. – 10:00 a.m.	Statewide ERP Project Kevin O’Toole, ERP Program Director
10:00 a.m. – 10:30 a.m.	State Cyber Security Kirk Lonbom, Illinois Chief Information Security Officer
10:35 a.m. – 10:50 a.m.	BREAK
10:50 a.m. – 11:50 p.m.	10 Imperatives for Internal Audit Jim Pelletier, CIA, CGAP, Director, American Center for Government Auditing
11:50 a.m. – 12:50 p.m.	LUNCH AND ANNOUNCEMENTS
12:50 p.m. – 01:50 p.m.	Key Note Speaker Jim Pelletier, CIA, CGAP, Director, American Center for Government Auditing
01:50 p.m. – 02:10 p.m.	BREAK
02:10 p.m. – 03:45 p.m.	Protiviti Auditing SharePoint / More Data Breaches! What Keeps Going Wrong? Michael Mask, Director of SharePoint Consulting Tom Stewart Uriah Robins
02:10 p.m. – 04:15 p.m.	University Auditors’ Roundtable Discussion Julie Zemaitis, CPA, Executive Director of University Audits, University of Illinois – <i>Facilitator</i>
03:45 p.m. – 04:15 p.m.	Discussion of Resources Available to State Entities: Use Cloud Vendors or Store Data in the Cloud Mike Porter, CMS - BCCS
04:15 p.m. – 04:20 p.m.	EVALUATION, WRAP-UP, AND ADJOURNMENT

October 29, 2015 Agenda

- 07:45 a.m. – 08:30 a.m. REGISTRATION / BREAKFAST / SIGN-IN
- 08:30 a.m. – 10:00 a.m. Chief Internal Auditors' Roundtable Discussion
Stephen Kirk, CIA, CGAP, Chief Internal Auditor,
Illinois Department of Transportation – *Facilitator*
- 08:30 a.m. – 10:00 a.m. IT Auditors' Roundtable Discussion
Jeremy Mehochko, CISA, CISSP, IT Audit Coordinator,
Illinois State Board of Education - *Facilitator*
- 10:00 a.m. – 10:15 a.m. BREAK
- 10:15 a.m. – 11:30 a.m. Chief Internal Auditors' Roundtable Discussion
Stephen Kirk, CIA, CGAP, Chief Internal Auditor,
Illinois Department of Transportation – *Facilitator*
- 10:15 a.m. – 11:30 a.m. IT Auditors' Roundtable Discussion
Jeremy Mehochko, CISA, CISSP, IT Audit Coordinator,
Illinois State Board of Education - *Facilitator*

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REGISTRATION FEE: \$90.00

(Fee includes Continental Breakfast, Lunch, & Refreshments for both days of
the Conference)

Payment for registration is not required in advance.
The Springfield Chapter of the IIA will send invoices to all registered attendees
after the conclusion of this conference.

Make Check Payable to

THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD
(FEIN 37-1088763)

Please Mail Check to

The Institute of Internal Auditors – Springfield Chapter
P.O. Box 205, Springfield, IL 62705

CANCELLATION POLICY

Written notice of cancellations received before **October 21, 2015**, will be fully
refunded. Cancellations received from **October 21–October 23, 2015**, will be
refunded less a \$25 processing fee. On or after **October 23, 2015**,
cancellation refund requests will be considered on a case-by-case basis.
Substitution of registrants is allowed.

CONCERENCE REGISTRATION

Please register on-line at: <https://illinois.edu/fb/sec/2624951>

(An automated confirmation of registration will be displayed on Browser upon
submitting the on-line registration form. E-mail confirmation of registration is
optional and can be requested by completing the "**Enter email address to
receive a copy of this form**" field at the bottom of the on-line registration form
prior to submitting.)

PLEASE NOTE

Registration for this conference **will be closed at midnight, October 21, 2015**.
Registrations after the closing date will be added as cancellations are
received. If you wish to be placed on a waiting list for this conference, please
contact Tracy K. Allen at 217-782-1003 or at AllenTK@mail.ioc.state.il.us

